# DFT Insights into the Structural, Mechanical, Electronic and Optical Properties of Novel InZnCl<sub>3</sub> and InCdCl<sub>3</sub> Chloro-Perovskites

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Abstract: The ABX<sub>3</sub> perovskite materials have recently emerged as one of the most promising materials for optoelectronic applications. In the present study, novel perovskites in the form of InZnCl<sub>3</sub> and InCdCl<sub>3</sub> are computationally investigated to determine their key characteristics, including structural, mechanical, electronic, and optical characteristics. These characteristics were evaluated using the density functional theory (DFT) implemented in the quantum espresso code. The results indicated that both materials exhibit chemical, dynamic, and mechanical stability. Moreover, these perovskites are predicted to be ductile, rendering them suitable for a broad array of optoelectronic applications, including solar cells. The electronic band structure and the density of states of the materials revealed their characteristics as indirect semiconductors with band gap energy values of 0.96 eV for InZnCl<sub>3</sub> and 1.83 eV for InCdCl<sub>3</sub> perovskites. The optical properties calculations also unveiled that these perovskites possess strong absorption in the visible-ultraviolet spectrum (up to  $10^6 \text{ cm}^{-1}$ ) and low reflectivity. The calculated refractive index and extinction coefficient of the compounds were also predicted in this study. These collective findings strongly suggest the potential applications of these novel materials in optoelectronic devices.

*Keywords: DFT*; *Quantum Espresso*; *perovskite*; *mechanical property*; *optoelectronic property* 

## INTRODUCTION

ABX<sub>3</sub> perovskite materials have recently ignited extensive investigation across scientific disciplines as they offer a multitude of advantages compared to conventional semiconductors. Firstly, it is relatively straightforward to tune their electronic and optical properties by A, B, or X ion replacement [1]. This flexibility can lead to a wider or narrower electronic band gap, consequently shifting the absorption energy threshold of the materials to higher or lower photon energy. In addition, these materials offer easy and cost-effective features [2]. Moreover, ABX<sub>3</sub> perovskites also possess unique and remarkable optoelectronic properties [3]. These inherent advantages have established ABX<sub>3</sub> perovskites and their derivatives as highly studied materials for potential applications in a variety of fields including solar cells [4], thermoelectric materials [5], light-emitting diodes [6], scintillation industry [7], lasers [8], photodetectors [9], superconducting applications [10], and gas sensors [11] among others.

Due to their distinctive optoelectronic features, chloro-perovskite materials incorporating chlorine or its combination with other halide anions as the X anion are gaining increasing attention in the materials research community. Numerous experimental investigations have been carried out to comprehensively explore the effects of chlorine on the optoelectronic and photovoltaic performance of perovskites. Chen et al. [12] discovered that the chlorine incorporation into CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> perovskite has improved the carrier transport across the hetero-junction interfaces, leading to improved photovoltaic performance of the perovskite. Sun and co-workers [13] further investigated the chemical state of chlorine in perovskites and found a strong correlation between the photovoltaic performance of perovskites and the state of chlorine in the perovskites. The importance of chlorine-based perovskites in optoelectronic field was highlighted in a large number of recent studies including in previous studies [14-16].

In addition to the experimental studies, a large number of computational investigations to find new chloro-perovskites for optoelectronic applications have been conducted in recent years. For instance, Husain and co-workers [17] performed a computational investigation on ternary cubic barium-based chloro-perovskites in the form of  $BaMCl_3$  (M = Ag, Cu) and reported that the materials have all the required properties to be applied as absorbers in high frequency applications. Other chloroperovskites ABCl<sub>3</sub> in which A or B are alkaline cations were also intensively studied, including LiRCl<sub>3</sub> (R = Be, Mg) [18], NaXCl<sub>3</sub> (X = Be, Mg) [19], XSrCl<sub>3</sub> (X = Li, Na) [20], ASiCl<sub>3</sub> (A = Li, Rb, Cd) [21], XTiCl<sub>3</sub> (X = Rb, Cs) [22], AInCl<sub>3</sub> (A = K, Rb) [23], QAgCl<sub>3</sub> (Q = K, Rb) [24], RbSrX<sub>3</sub> (X = Cl, Br) [25], ACaCl<sub>3</sub> (A = Cs, Tl) [26], and CaQCl<sub>3</sub> (Q = Li, K) [27]. Moreover, recently, computational investigations on other chloroperovskites in which A and B are other than alkaline cations have been performed. Murshed et al. [28] observed that TlMgCl<sub>3</sub> perovskite exhibits exceptional scintillation properties, making it a promising candidate for application in scintillation detectors. Thallium-based chloro-perovskites in the form of  $TlMCl_3$  (B = Zn, Cd) [29], TlSnX<sub>3</sub> (X = Cl, Br, I) [30], TlGeCl<sub>x</sub>Br<sub>3-x</sub> [31], TlGeX<sub>3</sub> (X = Cl, Br, I) [32], and TlBX<sub>3</sub> (B = Ge, Sn; X = Cl, Br, I) [33] were also found to possess excellent optoelectronic and thermoelectric applications. These collective studies have highlighted the promising properties of chloroperovskites for a wide range of applications, strongly emphasizing the significant need for thorough investigation into this class of perovskites.

Indium based chloro-perovskites InBCl<sub>3</sub>, in particular, have demonstrated considerable potential for optoelectronic and thermoelectric applications. Pingak et al. [34] observed some unique and outstanding optical and thermoelectric features in InSnCl<sub>3</sub>. Similar findings on InGeCl<sub>3</sub> perovskite were also reported in a previous study [35]. Furthermore, other intriguing optoelectronic properties of indium based chloro-perovskites were thoroughly explored in some recent studies including [13,36], unveiling their exciting and long-term prospects.

This study aims to explore some key properties of new indium based chloro-perovskites in the form of InZnCl<sub>3</sub> and InCdCl<sub>3</sub>. These properties include the structural. mechanical, electronic, and optical properties, calculated using the density functional theory (DFT) [37]. The DFT is used in the present article as it has been proven to be highly accurate in predicting the properties of a variety of materials [38-43], especially perovskite materials [44]. As this is the first study conducted on these materials, the obtained results are of significant importance to the fields in which perovskites are applied.

### EXPERIMENTAL SECTION

The first-principles calculations have been performed using DFT, as implemented in the Quantum Espresso code [45]. The Perdew-Burke-Ernzerhof (PBE) [46] functional was used to parameterize the exchangecorrelation while the ultrasoft pseudopotential was used to take into account the electron-nuclei interactions within the constituent atoms of the compounds. The convergence in the total energy of the perovskites was reached when the energy difference between two consecutive self-consistent calculations was less than  $10^{-8}$  Ry. K-points of  $6 \times 6 \times 6$  and  $12 \times 12 \times 12$  have been adopted for Self-Consistent Field (SCF) and Non-Self-Consistent Field (NSCF) calculations, respectively. The energy cut-off values used for the wave function and the charge density were 60 and 480 Ry, respectively. Moreover, the thermo\_pw code, linked with the Quantum Espresso, was used to calculate the optical and elastic properties of the materials.

#### RESULTS AND DISCUSSION

#### Structure

The cubic InZnCl<sub>3</sub> and InCdCl<sub>3</sub> crystalize in the space group  $pm\overline{3}m$  (#221). The unit cell structure of InCdCl<sub>3</sub> is visualized in Fig. 1, where In cations occupy the Wyckoff coordinates of (0,0,0) while Cd is located at (0.5,0.5,0.5). The three Cl anions are positioned at (0.5,0,0.5), (0.5,0.5,0), and (0,0.5,0.5). The unit cell structure of InZnCl<sub>3</sub> is identical to that of the InCdCl<sub>3</sub>, with Zn occupying the Cd position.

The Birch-Murnaghan equation of states [47], presented in Eq. (1), was used to optimize the crystal structure of the perovskites. In Eq. (1),  $V_0$  is the optimized volume;  $a_0$  is the optimized lattice parameter, B and B' are the bulk modulus and its pressure derivative, respectively, and  $E_0$  is the total energy. The fitting results of the equation are displayed in Fig. 2 with numerical values listed in Table 1, where the optimized lattice constants are also presented.

$$E(V) = E_0 + \frac{B}{B'(B'-1)} \left[ V \left( \frac{V_0}{V} \right)^{B'} - V_0 \right] + \frac{B}{B'} (V - V_0)$$
(1)

It can be seen from Fig. 2 that the equilibrium unit cell of the optimized  $InCdCl_3$  structure is larger than that of  $InZnCl_3$  material, which is expected as the ionic radius of Cd is larger than that of Zn. The optimized lattice constants of  $InZnCl_3$  and  $InCdCl_3$  are found to be 4.97 and 5.25 Å, respectively. The increase in the lattice

constant as Cd replaces Zn was also reported for the isoelectronic compounds in the form of TlZnCl<sub>3</sub> (4.98 Å) and TlCdCl<sub>3</sub> (5.26 Å) [29]. This trend is also true for various ABX3 perovskites where A and B cations are substituted with their larger counterparts including XZnI<sub>3</sub> [48], XAlN<sub>3</sub> [49], AGeF<sub>3</sub> [50], LiXF<sub>3</sub> [51], BeXH<sub>3</sub> [52], TlBF<sub>3</sub> [53], AGeF<sub>3</sub> [54], TlXF<sub>3</sub> [55], and XMgF<sub>3</sub> [56]. Similarly, various studies have also predicted a systematic increase in the lattice parameters as X anions were replaced by larger size anions such as CsGeX<sub>3</sub> [57], NaGeX<sub>3</sub> [58], and BaLiX<sub>3</sub> [59]. The predicted equilibrium densities of the two materials reveal that InCdCl<sub>3</sub>  $(3.94 \text{ g/cm}^3)$ denser than is InZnCl<sub>3</sub>  $(3.81 \text{ g/cm}^3)$ .



**Fig 1.** The unit cell structure of cubic InCdCl<sub>3</sub> perovskite material



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Perovskite	$V_0 (a.u.)^3$	a <sub>0</sub> (Å)	B (GPa)	B'	$E_0 (eV)$	$\rho_0 \left(g/cm^3\right)$	
InZnCl <sub>3</sub>	826.74	4.97	36.20	5.10	-9454.20	3.81	
InCdCl <sub>3</sub>	977.45	5.25	31.40	4.72	-4691.25	3.94	

Table 1. The structural parameters of the cubic InZnCl<sub>3</sub> and InCdCl<sub>3</sub> perovskites

## **Chemical and Dynamic Stability**

To investigate the chemical stability of the compounds, their formation energies have been calculated using Eq. (2).

 $\Delta E_{f} = E_{tot} \left( \ln BCl_{3} \right) - E(\ln) - E(B) - 3E(Cl)$ (2)

Here,  $E_{tot}$  (InBCl<sub>3</sub>) is the total energy of the InBCl<sub>3</sub> (B = Zn, Cd) perovskites whereas the energy of individual atoms In, B (Zn, Cd), and Cl is denoted by E(In), E(B), and E(Cl), respectively. It is found that the formation energy values of InZnCl<sub>3</sub> and InCdCl<sub>3</sub> are -13.20 and -12.79 eV, respectively. The negative formation energy implies that these materials possess chemical stability and can be experimentally synthesized under ambient conditions. It should also be noted that the formation energy as defined in Eq. (2), assumes that the materials are synthesized from their elemental atoms in gas phase, i.e., Cl, Cd or Zn, and In atom. The formation energy of materials can also be calculated using the elemental most stable phase energy or chemical potential, as presented in a previous study [60].

Another important property of these materials is their dynamic stability, which can be evaluated from their phonon dispersion curve (Fig. 3). As there are five atoms in a unit cell of  $InBCl_3$  (B =Zn, Cd) perovskites, it is expected that there will be 15 phonon modes in the phonon dispersion. These modes consist of twelve acoustic modes and three optical modes. The three optical modes are clearly seen in the case of InCdCl<sub>3</sub> (Fig. 3(b)) as there is an obvious gap between the acoustic and the optical branches. On the other hand, two of the three optical modes in InZnCl<sub>3</sub> overlap with the acoustic ones. Similarly, some acoustic modes cannot be seen in particular directions due to the degeneracy of some modes. For instance, only eight acoustic modes are observed in the  $\Gamma - X$ , R - M, and  $\Gamma - R$  directions, meaning that the four other modes are degenerate with some of the eight acoustic modes are seen in X – M, M –  $\Gamma$ , and R - X directions, suggesting the non-degeneracy of the modes.

The absence of negative frequencies in Fig. 3(a) and 3(b) suggests that the two materials are dynamically stable. The dynamic stability of a material is generally indicated by the positive vibrational modes, accompanied by the presence of three vibrational modes at the  $\Gamma$  point symmetry [61]. This is true in the present work, strongly indicating the dynamic stability of the perovskites.

#### **Mechanical Properties**

The calculated elastic constants of the studied materials along with other mechanical properties are summarized in Table 2.



Parameter	InZnCl <sub>3</sub>	InCdCl <sub>3</sub>
C <sub>11</sub> (GPa)	54.18	55.49
C <sub>12</sub> (GPa)	25.73	19.20
C <sub>44</sub> (GPa)	19.04	9.17
Cauchy pressure (C <sub>11</sub> -C <sub>12</sub> )	28.45	36.29
Bulk modulus, B (GPa)	35.21	31.30
Shear modulus, G (GPa)	16.94	12.09
Young modulus, E (GPa)	43.80	32.13
Pugh ratio, B/G	2.08	2.59
Poisson ratio, θ	0.29	0.33
Debye temperature, $\theta_D$ (K)	238.54	191.39

**Table 2.** Elastic constants  $C_{ij}$  and mechanical properties of cubic InBCl<sub>3</sub> (B = Zn, Cd) perovskites

One of the most important mechanical properties that can be obtained from the calculated elastic properties of the compounds is their mechanical stability. The Born-Huang mechanical stability criteria [62] were adopted in this study to evaluate the mechanical stability of the compounds. Since the elastic constants of both materials satisfy  $C_{11} > 0$ ,  $C_{44} > 0$ ,  $(C_{11}-C_{12}) > 0$ ,  $(C_{11} + 2C_{12}) > 0$ , and  $C_{12} < B < C_{11}$ , they are mechanically stable. This is an important finding as in general, there are three main factors affecting the mechanical stability of the perovskites layer in practical applications: the intrinsic characteristics of the perovskite, the effect of the other layers in the devices, and the absence or presence of a protective layer [63]. This means that since InZnCl<sub>3</sub> and InCdCl<sub>3</sub> are projected to possess intrinsic mechanical stability, their performance in devices can be optimized only by considering the two external factors.

The ductility and brittleness of materials are also important for their practical applications in optoelectronic devices because ductile materials can be easily deposited into thin films [64]. In order to investigate the ductile or brittle behavior of the perovskites under study, their Poisson ratio has been computed and the results are listed in Table 2. The Poisson ratio of InZnCl<sub>3</sub> is 0.29 while that of InCdCl<sub>3</sub> is 0.33. According to [65], materials with a Poisson ratio larger than 0.26 are considered as ductile. Thus, our results indicate that both InZnCl3 and InCdCl3 are ductile in nature. This can be further confirmed by analyzing their Pugh ratio: ductile materials should have Poisson ratio larger than 1.75 and vice versa [66]. As indicated in Table 2, the calculated Pugh ratio values for InZnCl<sub>3</sub> and InCdCl<sub>3</sub> are 2.08 and 2.59, confirming their ductile behavior. The Cauchy pressure is another elastic parameter that can also be used to confirm the ductility of the studied perovskites. Materials with ductile nature generally possess positive Cauchy pressure [67]. Table 2 provides clear evidence that both compounds have positive Cauchy pressure. Therefore, it can be confidently inferred that the two materials are ductile, with InCdCl<sub>3</sub> predicted to be more ductile than InZnCl<sub>3</sub>. This ductile behavior makes them promising candidates for applications as thin films in optoelectronic devices.

The calculated mechanical moduli of the perovskites are also presented in Table 2. The first one is the bulk modulus, which measures the resistance of a material to compression. The results showed that InZnCl<sub>3</sub> and InCdCl<sub>3</sub> have high bulk moduli of 54.18 and 55.49 GPa, respectively. The similarity in the bulk moduli of the two compounds suggests that they will exhibit approximately similar responses to applied compression. The calculated bulk moduli of InZnCl<sub>3</sub> and InCdCl<sub>3</sub> are significantly larger than those of other chloroperovskites reported in the literature, including NaBeCl<sub>3</sub> (20.56 GPa) and NaMgCl<sub>3</sub> (20.59 GPa) [19] as well as LiBeCl<sub>3</sub> (45.15 GPa) and LiMgCl<sub>3</sub> (33.36 GPa) [18]. As seen from Table 2, the shear modulus of the InZnCl<sub>3</sub> is 16.94 GPa, considerably larger than that of InCdCl<sub>3</sub> (12.09 GPa). This indicates that InZnCl<sub>3</sub> is projected to be more resistant to shear deformation compared to InCdCl<sub>3</sub>. This is also true for their isoelectronic compounds namely TlZnCl<sub>3</sub> and TlCdCl<sub>3</sub> [29], with shear moduli of 17.47 and 12.33 Gpa, respectively. Similarly, the calculated Young modulus of InZnCl<sub>3</sub> (43.80 GPa) is significantly larger than that of InCdCl<sub>3</sub> (32.13 GPa). The large difference in the Young modulus was also predicted for TlZnCl<sub>3</sub> (44.91 GPa) and TlCdCl<sub>3</sub> (32.33 GPa) [29].

### **Electronic Features**

The calculated electronic band structure and the total density of states (TDOS) of InZnCl<sub>3</sub> and InCdCl<sub>3</sub> are displayed in Fig. 4. The general features of the band



Fig 4. Electronic band structure and TDOS of cubic (a) InZnCl<sub>3</sub> and (b) InCdCl<sub>3</sub> perovskites

structure and the TDOS of the two compounds are very similar, as expected. A notable difference observed is a shift of states in both the valence and conduction bands, moving away from the Fermi energy as Cd replaces Zn. This leads to a wider forbidden gap of 1.83 eV ( $M\rightarrow\Gamma$ ) for InCdCl<sub>3</sub>, almost twice that of InZnCl<sub>3</sub> with band gap of 0.96 eV ( $X\rightarrow\Gamma$ ). Nevertheless, the two materials are categorized as semiconductors. It is true that the use of hybrid functionals and Coulomb energy correction (U) can improve the accuracy of the energy gap values generated from the PBE functional, as presented in previous studies [68]. However, the PBE functional was also proven to have approximately the same level of accuracy as more computationally expensive functionals in predicting the lattice constants of some materials [69]. Indeed, PBE could produce more reliable lattice

constants compared to those predicted by other functionals [69].

The widening of the band gap of ABX<sub>3</sub> compounds as larger A or B cations replace the position of the smaller ones was observed for a variety of perovskites including A<sub>2</sub>NaIO<sub>6</sub> [70], A<sub>2</sub>ScCuCl<sub>6</sub> [71], Sr<sub>3</sub>MN [72], Ba<sub>2</sub>MWO<sub>6</sub> [73], and  $Cs_2BBr_6$  [74]. Similarly, the observation is also true when X anions are substituted by other isoelectronic counterparts with larger ionic radii such as Rb<sub>2</sub>AuBiX<sub>6</sub> [75], CsInSbAgX<sub>6</sub> [76], CsRbPtX<sub>6</sub> [77], Rb<sub>2</sub>PtX<sub>6</sub> [78], K<sub>2</sub>CuBiX<sub>6</sub> [79], and Cs<sub>2</sub>RbSbX<sub>6</sub> [80]. The band gap modulation can also be obtained by applying hydrostatic or uniaxial pressure, as reported in a previous study [81]. The above-mentioned studies clearly indicate that perovskites are highly tunable enabling facile adjustment of their optoelectronic properties to obtain desired characteristics for specific applications across a broad spectrum of devices. The band gap values of the perovskites should be experimentally verified before they are used in practical applications. The Tauc plot method is one of the most commonly used methods for the experimental calculation of the band gap of materials [82].

It is interesting to note that the widening of the band gap of the materials as Cd replaces Zn is not followed by an increase in the bulk moduli of the compounds. This is in contrast to the results reported by Reddy et al. [83], who observed a systematic relationship between the bulk modulus and the band gap energy for some materials. They reported that the band gap energy is linearly proportional to the bulk moduli of the materials. Therefore, the inverse relationship of the two materials obtained in this study is interesting and could provide some interesting physical phenomena. Similar trend was also reported in a study [84], which stated that there is a large variation in band gap of alloys owing to chemical and size effects. As a result, the bulk moduli of alloys are not always linearly proportional to their band gap energy [84].

The contribution of the valence electrons' states of all constituent atoms in the valence and conduction bands of InZnCl<sub>3</sub> and InCdCl<sub>3</sub> are explored through the partial density of states (PDOS) diagram, depicted in Fig. 5(a) and 5(b) for the respective perovskites. Overall, no significant change was observed in the shape of the orbitals except for the widening of the forbidden energy range between the valence and conduction band, confirming the band structure and TDOS diagrams (Fig. 4). It is obvious that closest to the Fermi level, Cl-3p makes the most significant contribution in the valence bands of both compounds, followed by the In-5s orbital. The next contribution in this energy region comes from the Zn-3d orbital (for InZnCl<sub>3</sub>) and Cd-4d orbital (for InCdCl<sub>3</sub>), with a much lower proportion. On the other hand, Zn-4s and Cd-5s are the most dominant states in the conduction band region closest to the Fermi level. These are the states that are expected to take part in any electronic transition from the valence band to the conduction band of the materials. These findings are also



consistent with those reported in a study [29] for isoelectronic perovskites  $TIZnCl_3$  and  $TIZnCd_3$ .

The (110) electronic density map of the perovskites under study is shown in Fig. 6. The electron distribution around Cl and In atoms is nearly spherical, indicating that In-Cl bond is nearly ionic in nature. On the other hand, the electronic density is distorted between Cl and Zn (or Cd) atoms, implying a more covalent nature of Cl-Zn and Cl-Cd bond. The Cl-Cd covalent bond is projected to be stronger than the Cl-Zn bond, as seen from the denser electron distribution between Cl and Cd compared to the region between Cl and Zn. This has been anticipated as Zn and Cd possess different ionic sizes, with Cd being the largest cation. This means that the electrical polarization experienced by Cd in the presence of the Cl ion is of greater magnitude compared to the polarization experienced by Zn. As a result, more electrons are localized in the regions between the Cd and Cl compared to those between Zn and Cl. The electronic distribution of isoelectronic compounds  $TlBCl_3$  (B = Zn, Cd) was not reported in a previous study [29]. Therefore, a direct comparison cannot be presented in this work.

#### **Optical Properties**

The optical properties of the studied perovskites for photon energy between 0 and 20 eV have been calculated in this study. Equations used to calculate these properties can be found elsewhere [59]. In order to calculate all optical properties of the materials, their dielectric functions consisting of the real part  $\varepsilon_1(\omega)$  and the imaginary part  $\varepsilon_2(\omega)$  were first calculated, with the results visualized in Fig. 7. A comparison of the dielectric function of the two compounds shows an apparent similarity across the entire photon energy range. A close similarity is also true when comparing it with the dielectric functions of TlZnCl<sub>3</sub> and TlCdCl<sub>3</sub> [29],



Fig 6. (110) Electronic density cubic (a) InZnCl<sub>3</sub> and (b) InCdCl<sub>3</sub> perovskites



indicating that these materials exhibit similar responses to incident light. However, two of the most important aspects which should be noted are  $\varepsilon_1(0)$  and the energy at which  $\varepsilon_2(\omega)$  starts rising.

The  $\varepsilon_1(0)$  value, which is the static dielectric function, can be used to predict the performance of a material for application in optoelectronic devices. Materials with larger  $\varepsilon_1(0)$  values generally exhibit better performance in optoelectronic applications [85]. It is found that InZnCl<sub>3</sub> has a larger  $\varepsilon_1(0)$  value of 4.74, compared to InCdCl<sub>3</sub> whose  $\varepsilon_1(0)$  value is predicted to be 4.09 (Fig. 7a). These findings are also consistent with Penn's model [86], stating that electronic band gap of materials is inversely proportional to their static dielectric function. This agreement is, therefore, a validation of our results. Furthermore, the highest peaks of  $\varepsilon_1(\omega)$ , the two compounds appear at nearly the same energy and exhibit similar magnitudes. The highest figure for InZnCl<sub>3</sub> is 7.39 at 4.62 eV and that for InCdCl<sub>3</sub> is 7.32 at 4.31 eV.

The imaginary part of the dielectric function ( $\varepsilon_2(\omega)$ ) is a measure of the absorption behavior of a material. Therefore, it can be used to predict the energy at which a material initiates absorbing incident light, which should closely correlate with the magnitude of electronic forbidden gap. Materials with a lower electronic band gap are expected to absorb light at lower photon energy. This is true in the present work, where it is found that InZnCl<sub>3</sub> (band gap of 0.96 eV) has lower energy threshold energy for  $\varepsilon_2(\omega)$  than that of the InCdCl<sub>3</sub> (band gap of 1.83 eV). From this threshold energy,  $\varepsilon_2(\omega)$  experiences considerable increase, reaching a maximum value of 7.99 at 5.33 eV for InZnCl3 and 7.30 also at 5.33 eV for InCdCl<sub>3</sub>. Subsequently, the function declines for higher energy values.

The calculated dielectric function of the compounds was then used to determine other optical attributes including the reflectivity  $R(\omega)$ , the refractive index  $n(\omega)$ , the absorption coefficient  $\alpha(\omega)$ , and the extinction coefficient  $k(\omega)$ . The results are displayed in Fig. 8(a), 8(b), 8(c), and 8(d), respectively.

As depicted in Fig. 8(a), very low reflectivity values are predicted for the materials, especially around the optical threshold energy. Within [0,2.5] eV, the reflectivity ranges from 13.73% to 17.21% for InZnCl3 and 11.43% to 14.94% for InCdCl<sub>3</sub>. This implies a minimal energy loss due to the reflection of light from the surface of the two materials, which is favorable for optoelectronic devices requiring minimal reflectivity. It is predicted that InZnCl<sub>3</sub> will exhibit a maximum reflectivity of 35.29% at 5.85 eV, similar to InCdCl<sub>3</sub>, which also shows the highest reflexivity of 33.78% at 5.85 eV. Subsequently, the reflectivity of the two materials reduces with some fluctuations for higher photon energy, reaching the minimum values of 5.10% and 2.98% for InZnCl<sub>3</sub> and InCdCl<sub>3</sub> perovskites, respectively.

Fig. 8(b) shows the refractive index of InBCl<sub>3</sub> (B = Zn, Cd) as a function of the photon energy  $\eta(\omega)$ . A considerable similarity between  $\eta(\omega)$  and  $\varepsilon_1(\omega)$  (Fig. 7(a)) can be readily observed, which is expected. Lamichhane and Ravindra [87] developed an empirical model to relate various perovskite materials' refractive index and energy gap. The authors found that the energy gap values of materials are generally smaller for materials with larger refractive index. The authors observed that their model is in excellent agreement with some well-established models in the literature. The model demonstrates good performance in the current study, where it is found that  $InZnCl_3$  (E<sub>g</sub> = 0.96 eV) has a larger static refractive index of 2.18, compared to 2.02 of  $InCdCl_3$  (E<sub>g</sub> = 1.83 eV). It can also be observed from Fig. 8(b) that the refractive index of the studied perovskites increases, reaching a maximum value of 2.86 at 4.92 eV (InZnCl<sub>3</sub>) and 2.84 at 4.51 eV (InCdCl<sub>3</sub>). Subsequently, the refractive index decreases for higher values of the photon energy.

Fig. 8(c) displays the absorption coefficient  $\alpha(\omega)$  of the perovskites as a function of the photon energy. It indicates that the materials possess really strong absorption, reaching 10<sup>6</sup> cm<sup>-1</sup>. This observation has been anticipated as the materials possess low reflectivity as shown in Fig. 8(a). Strong absorption is one of the most essential requirements for materials to be applied as absorbers in optoelectronic devices. The energy threshold for the absorption is consistent with the electronic band gap, where InZnCl<sub>3</sub> is predicted to start



**Fig 8.** (a)  $R(\omega)$ , (b)  $n(\omega)$ , (c)  $\alpha(\omega)$ , and (d)  $k(\omega)$  of cubic InZnCl<sub>3</sub> and InCdCl<sub>3</sub> perovskites

absorbing light at lower energy than InCdCl<sub>3</sub>. This trend has also been reported for TlZnCl<sub>3</sub> and TlCdCl<sub>3</sub> [29]. This exceptional absorption behavior has also been predicted for a large number of perovskites including Ba<sub>3</sub>CaNb<sub>2</sub>O<sub>9</sub> [88], LiCaF<sub>3</sub> [89], RbGeBr<sub>3</sub> [90], and RbSrX<sub>3</sub> [91].

Closely related to the absorption coefficient  $\alpha(\omega)$ and  $\varepsilon_2(\omega)$  is the extinction coefficient  $k(\omega)$ , presented in Fig. 8(d). A comparison of Fig. 7(b), Fig. 8(c), and Fig. 8(d) reveals a remarkable similarity among the three parameters in the energy interval of [0,2.5] eV. This confirms that InZnCl<sub>3</sub> is more favorable than InCdCl<sub>3</sub> for optoelectronic applications in lower photon energy ranges such as solar cells. The highest peak of the extinction coefficient of InZnCl<sub>3</sub> appears at 5.85 eV (with maximum value of 1.83) while that of InCdCl<sub>3</sub> is observed at 5.74 eV (with a maximum value of 1.71). Further work will include the synthesis of the materials to verify their properties before they are employed in optoelectronic devices. It should be noted that although the materials are predicted to possess excellent optoelectronic properties, achieving their best performance for practical optoelectronic applications is challenging. A number of factors might affect the materials' performance in devices such as intrinsic point defects [92], hydrogen-induced nonradiative recombination [93], oxygen ingression [94], and  $H_i^+$  ion diffusion [95]. These factors must be considered when real applications of the materials are made.

#### CONCLUSION

The present study successfully implemented the DFT to explore the structural, mechanical, electronic,

and optical properties of new materials InZnCl3 and InCdCl<sub>3</sub>. The results revealed that the proposed materials are chemically and thermodynamically stable in the cubic structure with optimized lattice constants of 4.97 and 5.25 Å for the respective compounds. The evaluation of their elastic constants further demonstrated that the materials exhibit mechanical stability and possess ductile behavior in nature. From the electronic band structure and the density of states, it was found that both InZnCl<sub>3</sub> and InCdCl<sub>3</sub> are indirect semiconductors with band gap values of 0.96 and 1.83 eV, respectively. The results also indicated that the materials exhibit excellent optical behaviors including highly strong absorption in the visible and ultraviolet electromagnetic spectrum and low reflectivity. Given their promising potential optoelectronic applications, it is recommended that experimental studies be conducted to validate these predicted properties prior to their applications in various devices.

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# CONFLICT OF INTEREST

The authors do not have a conflict of interest.

# AUTHOR CONTRIBUTIONS

Redi Kristian Pingak wrote the original draft of the manuscript and conducted the DFT calculation. Redi Kristian Pingak, Zakarias Seba Ngara, Albert Zicko Johannes, Minsyahril Bukit, and Jehunias Leonidas Tanesib analyzed and interpreted the results. Fidelis Nitti analyzed the results and revised the manuscript. Hery Leo Sianturi and Bartholomeus Pasangka revised the manuscript. All authors agreed to the final version of this manuscript.

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